

Applicant Name:

Independent Judicial Election Qualification Commission

Questionnaire For Candidates For Elected Judicial Office

1. Have you ever been known by any other name (other than a recognizable nickname)?

Yes No

If yes, specify the name(s) and year(s) of name change and/or the years during which the other name or names were used:

2. Identify the Court and, where applicable, Judicial District, County, or City for which you are a candidate:

3. Date and Place of Birth:

4. Country of Citizenship:

5. (a) Current Home Address (Street, Apt, City, State, Zip):

(b) Current Home Telephone:

(c) Cell Phone Number:

(d) Number of years at current address:

If less than one year, previous address(es) during the past year:

6. (a) Current Business Address (Street, Apt, City, State, Zip):

(b) Current Business Telephone:

(c) Preferred E-Mail Address:

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7. Did you serve in the armed forces? Yes No

If yes, give the following information: (Multiple lines allowed in each field)

Date(s) of Service	Branch of Service	Nature of Discharge
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8. List in **reverse** chronological order (Most Recent Job First) all employment and periods of unemployment since graduation from law school. (If law school attendance did not commence within a few months following completion of undergraduate course study, list also employment and periods of unemployment between college and law school.):

Name of Firm or Employer	Address	Name of Supervisor	Dates of Employment (month/year)	Nature of Employment
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Reason for Leaving

Reason for Leaving

Reason for Leaving

Reason for Leaving

Reason for Leaving

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9. Have you ever held public office, elected or appointed, other than those listed in answer to Question 8 above? Yes No

If yes, state position held, dates of service, and whether the office was attained by election or appointment:

10. Have you ever been engaged, on your own account or with others, in any business or profession, part-time or full-time, other than those listed in your answer to Question 8 above? Yes No

If yes, list below:

Type of Business or Profession	Name of Employer	Address	Position Held	Dates (mo./yr.)
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Reason for Termination of Business

Reason for Termination of Business

Reason for Termination of Business

Reason for Termination of Business

11. (a) In addition to either a marriage, law, notary public, and/or driver's license, have you ever been issued any other license? Yes No

If yes, describe the license and list the initial issue and last renewal date(s).

(b) Has any license, including a license to practice law, a license as a notary public, or a driver's license, ever been revoked or suspended? Yes No

If yes, describe the circumstances:

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12. College and professional schools (other than law schools) attended:

School	Location	Degree	Honors	Dates Attended	Date of Graduation
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13. Law school(s) attended:

School	Location	Degree	Honors	Dates Attended	Date of Graduation
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14. (a) For Attorneys: For your most recent biennial registration period, did you satisfy New York State's mandatory continuing legal education requirement ? Yes No

If no, describe the circumstances:

(b) **For Judges:** For your most recent biennial registration period, did you satisfy the requirement of attendance at training and education courses [22 NYCRR §17.3]? Yes No

If no, describe the circumstances:

15. For Attorneys: Have you complied with all registration requirements for lawyers in the state of New York and any other jurisdiction in which you are licensed to practice law? Yes No

If no, describe the circumstances:

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16. Have you completed, or are you enrolled in, the education program on judicial campaign ethics required of all candidates for elected judicial office [22 NYCRR §100.5(A)(4)(f)]? Yes No

If no, explain why:

17. Have you filed a financial disclosure statement with the Ethics Commission for the Unified Court System [22 NYCRR §100.5(A)(4)(g)]? Yes No

If no, explain why:

18. List all courts in which you are admitted or have ever been admitted to practice, together with dates of admission:

(a) New York (give Judicial Department):

Date of Admission:

(b) All other Federal and State Courts:

Date of Admission:

19. List all areas of law in which you have concentrated or have substantial experience for any sustained period of time:

20.(a) Have you ever resigned from a position as, or for other reasons ceased to be, a member of the bar or bench of any state or court in any jurisdiction? Yes No

If yes, describe the circumstances:

(b) Have you ever resigned from a position as, or for other reasons ceased to be, a member of a governmental body, a hearing officer or magistrate, or an occupant of any other similar position?

If yes, describe the circumstances: Yes No

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21. Have you ever been the subject of a complaint filed with, or are there any charges pending against you, before any disciplinary committee, commission, or government agency, arising from your official or professional responsibilities? Yes No

If yes, describe the circumstances and provide a copy of any disposition (do not include complaints or charges subsequently dismissed as unproven or unmeritorious):

22. (a) Have you ever been found by a court to have committed legal malpractice, or are there any claims of legal malpractice currently pending against you in any court? Yes No

If yes, describe the circumstances:

(b) If you are or were a member of a firm or organization that was found to have committed legal malpractice, or that has claims currently pending against it that it had committed legal malpractice, describe the nature of the finding or claim if it related to a case or matter on which you worked and state whether your conduct was the subject of the finding or claim:

(c) Have you, or your firm or organization, ever settled a case alleging the commission of acts constituting legal malpractice where your conduct was the subject of the allegations? Yes No

If yes, describe the circumstances:

23. Have you, your firm, your employer or any of your clients ever been cited for contempt or otherwise had a sanction imposed upon you (or them), as a result of conduct in any judicial or administrative proceeding? Yes No

If yes, describe:

24. Have you ever been convicted of, or are there current charges pending against you of, any offense or crime other than a non-moving traffic violation (including proceedings in the armed forces)? Yes No

If yes, describe the nature and outcome of each case:

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25. In relation to any conduct, act or omission on your part or done with your knowledge, has any Federal, State, City or other governmental agency, or a grand jury initiated or completed an investigation of you or of any law firm, corporation, business, partnership, joint venture, governmental agency or other similar entity with which you are, or were at the relevant time, affiliated? Yes No

If yes, describe each investigation and its outcome:

26. In the past ten years, have you been involved as a party to any litigation (criminal, civil, or administrative), other than an action you have identified in answer to any previous question? Yes No

If yes, describe, including the disposition of the matter:

27. Have you ever had an order of protection issued against you, or have you ever been listed in the Domestic Violence Registry, or the Sex Offender Registry? Yes No

If yes, explain:

28. Are there any unsatisfied judgments, tax warrants, tax liens or mechanics' liens outstanding against you, or property you own or have an interest in? Yes No

If yes, describe:

29. Are you in default of any court order, including maintenance or child support decrees? Yes No

If yes, describe:

30. Has any petition in bankruptcy ever been filed by or against you? Yes No

If yes, describe:

31. Have you timely filed all required Federal, State, and City income tax returns appropriate to your place of residence? Yes No

If no, explain:

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32. Has any Federal, State, City or other taxing authority found that you failed to pay adequate taxes, penalties, and/or other charges for any tax year? Yes No

If yes, describe:

33. A judge is expected to be on the bench or otherwise handling legal matters for at least seven hours per day, five days per week. At times, a judge's responsibilities may require him/her to be on the bench or at work into the evenings and on weekends. Are you able to perform these tasks on your own, or with reasonable accommodation? Yes No

If no, describe the circumstances:

34. A judge may be required to handle emergency applications, cope with media scrutiny, issue quick decisions, deal with fractious litigants, recall significant amounts of information, and otherwise respond to extremely stressful situations. Are you able to perform these tasks on your own, or with reasonable accommodation? Yes No

If no, describe the circumstances:

35. Do you currently use any illegal drugs, abuse alcohol, or abuse any prescription drugs? Yes No

If yes, describe:

36. Has an employer or supervisor ever counseled you regarding, or expressed concern about, your absenteeism? Yes No

a. If yes, describe the frequency of the absenteeism complained of:

b. Was the situation rectified? Yes No

If yes, explain how:

37. Do you know of any factors that would adversely affect your ability to competently serve as a judge, to comply with a judge's ethical responsibilities, or to complete the day-to-day responsibilities that a judge is required to assume? Yes No

If yes, describe:

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38. Are you a member of any bar association or professional organization?

Yes No

If yes, give the following information for each association or organization:

Name of Association

Dates of Membership

Committee Service

39. Describe any significant community activities in which you have engaged:

40. Have you written articles for publication? Yes No

If yes, give the name and date of the publication and the title of each article published in the past five years, and list any other publications that you deem significant:

41. Have you had any teaching experience in law or related fields? Yes No

If yes, describe:

42. Have your qualifications for public office previously been reviewed by any bar or other professional association? Yes No

If yes, identify the organization, state the date of the review, and detail all findings by the organization:

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ATTACH THE FOLLOWING SCHEDULES TO THIS QUESTIONNAIRE. AS TO EACH SCHEDULE, SPECIFY THE QUESTION NUMBER TO WHICH IT APPLIES

In answering questions about your litigation experience, you may include adversarial proceedings before a court, an administrative tribunal or an arbitrator or other forum for alternate dispute resolution. In addition, you should count as "trials" all adversarial evidentiary hearings involving presentation of witness testimony.

43. **For attorneys:** Attach a statement specifying [If you are unable to give the exact number for a category, provide your best estimate]:

- (a) the types (i.e., civil, criminal or administrative) and number of each type of trials you have conducted in the past ten years;
- (b) the number of the cases in category (a) of this question that ended in a verdict or judgment or ruling by the trier of fact;
- (c) the number of cases listed in category (a) of this question that were tried before a jury;
- (d) the courts or other tribunals in which the cases were tried;
- (e) the number and types of appeals briefed in the past ten years;
- (f) the number of appeals argued and the courts in which the arguments were heard;
- (g) the number and types of dispositive motions you have litigated in the past ten years;
- (h) the number of dispositive motions you have argued and the courts or other tribunals in which the arguments were heard;
- (i) the title and citation of reported cases in the last ten years in which you conducted the trial, wrote the brief and/or argued the appeal, or wrote the papers on the dispositive motion.

44. **For attorneys:** Submit a list of the last ten trials, dispositive motions, or appeals in which you have actively and substantially participated in any state or federal court at the trial or appellate level, including the title of the case, the index, docket or indictment number, the court in which the case was heard, a concise description of the nature of the case, the date of the trial or oral argument, the name, address and telephone number of each adversary and co-counsel, and the name(s) of the judge(s) who presided at trial or sat on the appellate panel.

45. (a) **For attorneys:** Attach a statement describing your legal experience other than litigation. Include in that statement a general description of the last ten matters you handled and the names, addresses and telephone numbers of the lawyers, other than your associates, employees, partners, co-tenants, supervisors or employers, with whom you worked on each of those ten matters. For example, judicial law clerks should list the attorneys with whom they have had substantial contact. Similarly, law professors should list attorneys, judges and/or other law professors who are familiar with their work.

(b) **For attorneys:** Submit a minimum of three recent examples of your legal writing, and if you are not the sole author of the example, please explain your role in its preparation.

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46. **For judges:** Submit a list of your ten most recent opinions with the citation to each or a copy thereof if not published.

47. **For judges:** Submit a list of the last ten trials or appeals over which you have presided, including the title and dates of each case, a brief description of the nature of each case, and the names, addresses and telephone numbers of the attorneys involved.

48. **For judges:** Approximately how many judicial decisions, opinions or orders have you issued over the last ten years? Approximately how many of those decisions/opinions/orders were appealed? Affirmed? Reversed? Modified? .

Provide full citations to any of your decisions/opinions/orders that were reversed or modified on appeal. Please provide copies of any unreported decisions/opinions/orders that were subsequently reversed or modified

49. **For all candidates:** Submit a list of the names, addresses and telephone numbers of any judges, public officials, attorneys or any other persons whom you suggest the Commission contact with respect to your candidacy.

50. **For all candidates:** Please specify any additional information that is reasonable to expect that the Commission would want to know when it considers your qualifications for the office you seek.

51. **For all candidates:** Please complete and submit to the Commission an original, signed release for the Grievance Committees and, as appropriate, The Commission on Judicial Conduct. These releases are included as Appendices "A" and "B" (respectively) to the Questionnaire.

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I ACKNOWLEDGE BY MY SIGNATURE THAT THE ANSWERS I HAVE GIVEN ARE TRUE AND COMPLETE AND RECOGNIZE MY CONTINUING OBLIGATION DURING THE EVALUATION PROCESS TO CORRECT AND SUPPLEMENT MY ANSWERS IN ORDER TO ENSURE THAT THEY REMAIN TRUE AND COMPLETE.

Date

Signature